



Application for passive membership*

I. The applicant

Company:	
Legal form:	
Registered office:	
Subsidiaries/branches:	
Telephone number:	
Fax number:	
E-mail:	
Website (url)	

II. Shareholders or partners

Business ¹		
<input type="checkbox"/> Banking	<input type="checkbox"/> Asset management	<input type="checkbox"/> Trade association
<input type="checkbox"/> Investment consultancy	<input type="checkbox"/> Education / research	<input type="checkbox"/> Other ²
<input type="checkbox"/> Securities dealing		

III. Terms and conditions of application

The undersigned applicant, hereby, warrants the truthfulness of the information provided in this form and undertakes to notify the SAAM Designated Officer in writing of any changes in such information. The undersigned applicant agrees to be bound by the relevant provisions of the Articles of Association of the Swiss Association of Asset Managers, specifically including the waiver of due process under the law with respect to proceedings of the Disciplinary and Arbitration Committees.

IV. Publication of personal information in the Directory of Members

The undersigned applicant is aware of the fact that following submission of this application the name of his firm, address, telephone number, website url and the representative's name will be published in a secure website to which only members in possession of passwords have access. The SAAM shall not be responsible for any misuse of passwords by members. The undersigned applicant is also aware of the fact that such information will be made available to the public on the SAAM website and in any printed directories of members following acceptance as member in the Association.

[place], [date]

The applicant

* SRO Rules and Regulations Schedule IV

¹ More than one line of business may be ticked.

² Please describe in a signed and dated side-letter.