



VERBAND SCHWEIZERISCHER VERMÖGENSVERWALTER (VSV)  
ASSOCIATION SUISSE DES GÉRANTS DE FORTUNE (ASG)  
ASSOCIAZIONE SVIZZERA DI GESTORI DI PATRIMONI (ASG)  
SWISS ASSOCIATION OF ASSET MANAGERS (SAAM)

## Warranty regarding financial intermediation

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- The undersigned active member of the SAAM
- The undersigned applicant for active membership in the SAAM

warrants, in connection with the application for membership of the following employee:

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Last and first names, address

that such employee does not engage in any activities relating to financial intermediation other than those of the active member or the applicant active member.

\_\_\_\_\_, \_\_\_\_\_  
Place Date

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Name and binding signature of the active member or applicant for active membership

The undersigned applicant for active membership in the SAAM, hereby, warrants that the he or she is not engaged in any activities relating to financial intermediation other than those of the active member or the applicant active member.

\_\_\_\_\_, \_\_\_\_\_  
Place Date

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Signature of the applicant for individual membership